





# **Horticultural Consultants**

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# PLANT BREEDERS' RIGHTS - AN OUTLINE FOR THE NEWCOMER

### FIRST CONSIDERATIONS

When considering whether to apply for plant variety protection (Plant Breeders' Rights) you first need to decide if the variety is worthwhile protecting. It makes sense to discover if the variety is capable of commanding a large enough place in the market to give a return on the investment and cover ongoing costs (PBR and legal fees, administration and marketing expenses, etc). There is always an element of luck in predicting the likely market uptake, but well planned research can keep this unfortunate element to a minimum. If other firms in the industry think the product has serious commercial potential and the marketing plan is sound your decision will be far easier to make. Of course, having professional nurseries firmly committed to the uptake and marketing of the product is clearly the best basis from which to proceed.

An application must be made by:

- a) the person who bred or discovered the variety
- b) his successor in title
- c) through an agent which will be accepted if the agent has written authority from the applicant to act on his behalf (PVS11 form which is available from Defra).

# APPLYING FOR PLANT BREEDERS' RIGHTS

The job of applying for rights is that of the Breeder. The application is made to the Plant Variety Rights Office, which is headed by an officer appointed by Ministers and known as the Controller. The breeder is asked to complete an Application Form and Technical Questionnaire giving a full description of the plant and details of how the variety was derived. Application forms can be obtained from, and should be returned to:

Plant Variety Rights Office White House Lane Huntingdon Road Cambridge CB3 0LF Tel: (01223) 342350

Fax: (01223) 279036

All the questions on the form must be answered, and all applications must be accompanied by correct payment. The Controller may request that the breeder produce such documents, records, illustrations etc and reproductive and other plant material as required for the continuation of trials. Once an application for rights has been accepted, the Controller will make arrangements to carry out such tests and examinations as he considers necessary for the purpose of determining whether the variety is:

a) DISTINCT clearly distinguishable by one or more important characteristic from all other varieties

whose existence is a matter of common knowledge at the time of application.

b) UNIFORM sufficiently uniform or homogeneous with regard to the particular features of its sexual

reproduction or vegetative propagation.

c) STABLE must remain true to its description after repeated reproduction or propagation.

Hence the term "DUS testing"

The Controller will decide the duration of these tests and examinations, but in most cases they are likely to last for a minimum of 2 years.

### GRANTING OF PLANT BREEDERS' RIGHTS

Once the Controller is satisfied that the appropriate conditions have been met, he will grant Plant Breeders' Rights to the applicant upon payment of the appropriate fee for the species concerned. This fee is payable before the issue of the document constituting evidence of plant breeders' rights.

#### RENEWAL OF RIGHTS

Plant Breeders' Rights will only remain in force so long as the holder of the rights, or a person acting on his behalf, pays a renewal fee annually during the term of the rights. If the renewal fee has not been paid within 7 days from the due date notice will be given of the proposal to terminate rights.

#### **NAMING**

At some stage a name needs to be proposed for the variety concerned. There are guidelines for naming and if a name does not comply with these the Controller may reject it. Until a name had been proposed the Controller is not obliged to process the application, in fact, if the Controller gives notice and a name has not been suggested it may lead to the application being abandoned. An applicant may substitute a name for one already proposed or approved, this is dependant upon the applicant proving there are valid reasons for the substitution, the name conforming to prescribed rules and the appropriate fee being paid.

If the Controller accepts a name (either original or substitute) it is advertised in the *Plants Varieties and Seeds Gazette*.

Any person may object to the name on the following grounds:

- It is the same as, or so closely resembles the name of another plant variety of the same class that it could deceive or cause confusion
- It is likely to deceive or cause confusion as to the characteristics or value of the plant, or the identity of the breeder.
- It does not conform with international usage as to the naming of cultivated plants.
- It is the same as, or likely to be confused with a trade mark (registered or applied for) or a trade name used in respect of:
  - a) reproductive material of any kind
  - b) products of the pant variety concerned or of another plant variety of the same class.
- It is liable to cause offence or is otherwise objectionable.

After rights are granted the plant variety may not be sold under any name other than its registered one. The exception to this is that a trade name or mark may be used in connection with the registered name, providing the two names are juxtaposed and the registered name is clearly recognisable. This applies both during and after the period for which the plant breeders' rights are exercisable. These provisions do not apply to reproductive material intended for export.

### **REGISTER**

The Controller keeps a register of plant varieties, including their names, for which applications have been made or granted. Anyone can inspect this register and a copy is available upon request from the Plant Variety Rights Office.

Any holder of Plant Breeders' Rights who has changed his name or address must apply to the Controller for an amendment to the register.

# ADVICE ON PLANT BREEDERS' RIGHTS MATTERS

The Plant Breeders' Rights Office will give enquirers any help it can by way of general information about Plant Breeders' Rights and the procedures of the office. It does not, however, undertake to:

- a) give legal advice or opinions on any subject connected with the law as to plant breeders rights
- b) examine or express opinions on the eligibility of varieties as subjects for application of plant breeders' rights
- c) express any opinion on agreements or other documents before they are filed in connection with formal opinions.

It is not within the power of the Controller to comply with requests for financial assistance to obtain plant breeders' rights or to reduce or remiss any of the fees.

# **FALSE REPRESENTATIONS**

It is an offence for any person to knowingly make a false claim that he is entitled to exercise any plant breeders' rights, or rights derived from plant breeders' rights. This is true whether or not the variety in respect of which the claim is made is the subject of plant breeders' rights. The penalty for such an offence is a fine not exceeding £1,000.

### **EUROPEAN PLANT BREEDERS' RIGHTS**

The scope of the rights granted under the European title is much wider than that currently available under the UK title. The cost of obtaining European Plant Breeders' Rights is naturally higher than the UK single country fees, but it does offer a very comprehensive form of protection to the Breeder. If production is likely to be carried out in several Member States EU protection is more economical than multiple national titles. It is important to consider the value of this where the countries are likely to produce the plants for export. Another point that you should bare in mind is that if you opt solely for an EU title and at any stage relinquish it, you can not then apply for a national title. You can, however, maintain national titles behind the EU protection and revert to these if you abandon the EU title. National titles held in this way are effectively dormant, since the EU Grant takes precedence.

A word of warning - if you wish to keep this option open, you must have your national rights granted <u>before</u> the European rights, so the timing is very important.

More details on European Breeders' Rights can be obtained form the Plant Variety Rights Office.

### BARB & THE SERVICE IT OFFERS TO BREEDERS

*BARB* is an organisation working on behalf of Breeders who are its Members. It issues licences, collects the royalties due and monitors fair competition in terms of royalty declaration by its licensees. The actual creation of market interest and the generation of volume sales are the job of the Breeder himself.

BARB is an unincorporated non-profit making Association. Its Members are all Breeders or their agents who are holders of Plant Breeders' Rights, or operate those rights on behalf of another Breeder. It is they who fund the running of the organisation, the object of which is to offer licences on behalf of Holders of Rights to growers wishing to take up their protected varieties on an administratively easy and economic system for both.

For more information please contact: Ian Kennedy

General Secretary

B.A.R.B. 17 Wren Centre Westbourne Road EMSWORTH Hants PO10 7SU Tel: (01243) 389532 Fax: (01243) 389509

E-mail: info@barb.org.uk

#### GENETIC FINGERPRINTING

A service is available to check both the parentage and source of plant material where a dispute may arise. This process is not definitive but can be used as part of the evidence in case.

Contact: Department of Plant Genetics and Breeding

Centre for Agricultural Research Burg. Van Gansberghelaan

109, 9820 Merelbeke Belgium

The use of AFLP (amplified fragment length polymorphism) for detecting Essentially Derived Varieties (EDV) and frauds is demonstrated on 3 case studies. Fifteen bud sports of Rhododendron simsii hybrid cultivar Hellmut Vogel, 2 Rosa varieties and 2 clones of Phalaenopsis, all alleged EDVs or frauds were analysed by AFLP. In the case of Hellmut Vogel, AFLP with 6 primer combinations proved that some bud sports were EDVs and therefore not proper varieties. The 2 Rosa varieties had identical fingerprints, thus revealing a fraud in the registration of parents for new variety Y. Phalaenopsis clones L and J were identified with 3 primer combinations as different but closely related

clones. It is concluded that AFLP is an appropriate technique for the determination of genetic conformity as proof for disputed EDVs or fraud.

# THE MARKETING OF ORNAMENTAL PLANT PROPAGATING MATERIAL REGULATIONS 1999.

This regulation places obligations on the part of propagators to state, in the documentation of the plant passport, if the plant material being sold is subject to Plant Variety Rights. This regulation also covers quality issues covering the maintenance of purity and varietal identity for these plants.

# INDEPENDENT ORGANISATIONS

There are several independent companies who will register and place plants with overseas agents for you as a service. These people are sometimes linked to nurseries and can even offer sales of the product for you. Such contacts are:

Peter Dealtry, Genesis, 9 Portland Street, King's Lynn, Norfolk PE30 1PB

E-mail: genco@dial.pipex.com

#### **PlantHaven**

Geoff Needham, PlantHaven, PO Box 927, Carrboro, North Carolina, 27510, USA

E-mail: geoff@planthaven.com

PlantHaven, Inc specializes in introducing plants to the North American market and handles the whole process from initial evaluations, placement, promotion and royalty administration. They are independent: only working for the breeder or the breeder's own agent. On the international front, PlantHaven is associated with similar agencies worldwide. We meet regularly to exchange "best practice" ideas and we are able to synchronise patent and PBR applications to best effect. The agencies and their territories are:

> Genesis - UK and others in EU Plantipp - Benelux, Germany Plantes du Monde - France Royalagro - Spain Plants Management - South Africa Plants Management - Australia PlantNetowrk - Japan.

The magazine PLANTS offer a wide distribution of information for new plant introductions. Available by subscription or on-line at www.plants-magazine.com

Contact details for Plant Variety Protection Offices in UPOV Member States are as follows:

# **Argentina**

Instituto Nacional de Semillas (INASE) Secretaría de Agricultura, Ganadería y Pesca Ministerio de Economía y Obras y Servicios **Públicos** 

Avda. Paseo Colón 922 – 3. Piso

1063 Buenos Aires Tel: (54-11) 4349 24 97 Fax: (54-11) 4349 24 17

E-mail: inase@sagyp.mecon.ar

### Australia

Registrar

Plant Breeders' Rights Office

PO Box 858 Canberra A.C.T. 2601

Tel: (61-2) 6272 3888 Fax: (61-2) 6272 3650

Web page: www.daff.gov.au/agfor/pbr/pbr.html

Austria

Bundesamt und Forschungszentrum für

Landwirtschaft Sortenschutzamt Postfach 400

Spargelfeldstrasse 91 A-1226 Wien

Tel: (43-1) 732 16 40 00

Fax: (43-1) 732 16 42 11

# Belgium

Ministère des classes mayennes et de l'agriculture Service de la protection des obtentions végétales

et des catalogues nationaux Tour WTC/3 – 11ème étage Avenue Simon Bolivar 30 B-1000 Bruxelles

Tel: (32-2) 208 37 22 Fax: (32-2) 208 37 16

# Bolivia

Dirección Nacional de Semillas

# This information is subject to change at any time by the Government.

Secretaría Nacional de Agricultrua y Ganadería

Avda. 6 de Agosto 2006 Edif. V. Centenario Castilla 4793

La Paz

Tel: (591-2) 391 953 / 391 608 Fax: (591-2) 391 953 / 391 608 E-mail: semillas@mail.entelnet.bo

#### **Brazil**

Serviço Nacional de Proteçao de Cultivares – SNPC

(National Plant Varieties Protection Service)
Secretaria de Desenvolvimento Rural – SDR
Ministério da Agricultura e do Abastecimento
Esplanada dos Ministérios, Bloco D, Anexo A
Térreo sala 1-12

CEP 70043-90 Brasilia DF

Tel: (55-61) 218 2433/2163/2557/2549/218 24 13

Fax: (55-61) 224 28 42 / 224 5647 E-mail: snpc@agricultura.gov.br

# Bulgaria

Patent Office of the Republic of Bulgaria 52 b. Dr. G M Dimitrov blvd.

BG-1113 Sofia

Tel: (359-2) 710 152, 717 044

Fax: (359-2) 708 325

#### Canada

Plant Breeders' Rights Office Canadian Food Inspection Agency (CFIA)

3<sup>rd</sup> Floor, East Court Camelot Court 59 Camelot Drive

Nepean

Ontario K1A 0Y9 Tel: (1-613) 225-2342 Fax: (1-613) 228-6629

### Chile

Ministerio de Agricultura Servicio Agrícola y Ganadero Departamento de Semillas

Casilla 1167-21 Santiago de Chile

Tel: (56-2) 696 29 96/698 22 44

Fax: (56-2) 696 64 80

# China

The Office for the Protection of New Varieties of Plants Ministry of Agriculture 11 Nog Shan Guan Nan Li

Beijing 100026

Tel: (86-10) 641 930 29/641 916 77 Fax: (86-10) 641 930 82/641 916 78

E-mail: <a href="mailto:cnpvp@agri.gov.cn">cnpvp@agri.gov.cn</a>

Department of Science and Technology Office for the Protection of New Varieties

of Forest Plants

State Forestry Administration

Hepingli

Beijing 100714

Tel: (86-10) 642 14 714

Fax: (86-10) 642 13 084 / 642 14 904

E-mail: <u>lybxpz@ihw.com.cn</u> <u>lyjxpz@public.east.cn.net</u>

#### Colombia

Instituto Comombiano Agropeuario (ICA)

División de Semillas Calle 37 No. 8-43 Santa Fe de Bogotá

Tel: (57-1) 232 4697,/ 232 8643 Fax: (57-1) 232 4695 / 288 4037 E-mail: semilla@impsat.net.co

# **Czech Republic**

Ministry of Agriculture

Department of European Integration

Tesnov 17 117 05 Prague 1 Tel: (420-2) 2181

Tel: (420-2) 2181 2472 Fax: (420-2) 2181 2970

#### Denmark

Plantenyhedsnaevnet

(The Danish Institute of Plant and Soil Science)

Teglvaerksvij 10

**Tystofte** 

DK-4230 Skaelskoer Tel: (45) 5359 6141 Fax: (45) 5359 0166

#### **Ecuador**

Instituto Ecuatoriano de la Propiedad Intelectual Dirección Nacional de Obtenciones Vegetales

Eloy Alfaro y Amazonas Edificio MAG, 3<sup>er</sup> piso

Quito

Tel: (593-2) 566 686/541 852

Fax: (593-2) 562 258

E-mail: <a href="mailto:sectagro@impsat.net.ec">sectagro@impsat.net.ec</a>

# Finland

Plant Variety Board Plant Variety Rights Office

Box 232

00171 Helsinki

Tel: (358-9) 160 3316 Fax: (358-9) 160 2443

# France

Comité de la protection des obtentions végétales

11 rue Jean Nicot

F-75007

Tel: (33-1) 42 75 93 14 Fax: (33-1) 42 75 94 25

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### Germany

Bundessortenamt Postfach 61 04 40 D-30604 Hannover Tel: (49-511) 95 66 5

Fax: (49-511) 56 33 62

E-mail: bsa@bundessortenamt.de

#### Hungary

Hungarian Patent Office Magyar Szabadalmi Hivatal Garibaldi-u. 2 – BP 552 H-1370 Budapest

Tel: (36-1) 312 44 00

Fax: (36-1) 311 48 41/331 25 96

#### **Ireland**

Controller of Plant Breeders' Rights Department of Agriculture and Food Backweston Leixlip

Leixlip
Co. Kildare

Tel: (353) 1-628 0608 Fax: (353) 1-628 0634 E-mail: backwest@indigo.ie

#### Israel

Plant Breeders' Rights Council

The Volcani Centre

PO Box 6

Bet-Dagan 50 250 Tel: (972-3) 968 36 69 Fax: (972-3) 968 34 92

E-mail: <u>ilpbr\_tu@netvision.net.il</u>

### Italy

Ufficio Italiano Brevetti e Marchi Ministèro dell'Industria, del Commercio e dell'Artigianato

19 via Molise I-00187 Roma

Tel: (39-06) 47 05 1/488 43 54 (Div. IV)

Fax: (39-06) 47 05 30 35

### Japan

Seeds and Seedlings Division Agricultural Production Bureau

Ministry of Agriculture, Forestry and Fisheries

1-2-1 Kasumigasei – Chiyoda-ku

Tokyo 100

Tel: (81-3) 35 91 05 24 Fax: (81-3) 35 02 65 72

#### Kenya

Plant Breeders' Rights Office

Kenya Plant Health Inspectorate Service (KEPHIS)

Jeadquarters Waiyaki Way PO Box 49592

Nirobi

Tel: (254-2) 44 40 29/44 40 31 Fax: (254-2) 44 89 40/44 00 87 E-mail: kephis@nbnet.co.ke

#### Mexico

Servicio Nacional de Inspección y Certificación de Semillas – SNICS

Secretaría de Agricultura, Ganadería y Desarrollo

Rural

Lope de Vega 125-8, 2 piso Col. Chapultepec Morales 11570 Mexico D.F.

Tel: (52-5) 203 9427/203 9667

Fax: (52-5) 250 6483

### Netherlands

Raad voor het Kwekersrecht (Board for Plant Breeders' Rights)

Postbus 104

NL-6700 AC Wageningen Tel: (31-317) 47 80 90 Fax: (31-317) 42 58 67

E-mail: raad.kwekersrecht@rlr.agrp.nl

#### New Zealand

Commissioner of Plant Variety Rights

Plant Variety Rights Office

PO Box 130 Lincoln Canterbury

Tel: (64-3) 325 63 55 Fax: (64-3) 325 29 46

# **Norway**

Plantesortsnemnda (The Plant Variety Board) Frokontrollen

N-1432 Ås

Tel: (47) 64 94 75 04 Fax: (47) 64 94 02 08

#### **Panama**

Dirección General del Registro de la Propiedad Industrial (DIGERPI) Ministerio de Comercio e Industrias

Apartado 9658 - Zona 4

Panamá 4

Tel: (507) 227 39 87/227 25 35 Fax: (507) 227 21 39/275 604 E-mail: digerpi@sinfo.net

# Paraguay

Ministerio de Agricultura y Ganadería Dirección de Semillas (DISE) Gaspar R. de Francia No. 685 c/ Mcal. Estigarribia San Lorenzo

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Tel: (595) 21 58 22 01 Fax: (595) 21 58 46 45

#### Poland

Research Centre for Cultivar Testing (COBORU)

63-022 Slupia Wielka Tel: (48-61) 285 23 41 Fax: (48-61) 285 35 58 E-mail: coboru@bptnet.pl

#### **Portugal**

Centro Nacional de Registo

de Variedades Protegidas (CENARVE)

Edificio II da DGPC Tapada da Ajuda P-1300 Lisboa

Tel: (351-1) 362 16 07/363 36 01/02

Fax: (351-1) 362 16 06

E-mail: ed2.tapada@dgpc.mailpac.pt

# Republic of Moldova

State Commission for Crops Variety Testing

and Registration Ministry of Agriculture Bul. Stefan cel Mare 162

CP 1873 2004 Chisinau

Tel: (373-2) 24 62 22 Fax: (373-2) 24 69 21

### **Russian Federation**

State Commission for the Russian Federation for Selection Achievements Test and Protection

Orlicov per., 1/11 107139 Moscow Tel: (7-095) 204 49 26 Fax: (7-095) 207 86 26 E-mail: desel@agro.aris.ru

Web site: www.angelfire.com/mis/soundsbyte

#### Slovakia

Ministry of Agriculture Dobrovicova 12 812 66 Bratislava Tel: (421-7) 306 62 90 Fax: (421-7) 306 62 94

# Slovenia

Ministrstvo za kmetijstvo. gozdarstvo in prehrano (MKGP)

Urad RS za varstvo in registracijo sort rastlin (Plant Variety Protection and Registration Office)

Parmova 33 1000 Ljubljana

Tel: (386-61) 136 33 44/136 34 82

Fax: (386-61) 136 33 12 E-mail: <u>UVRSR@gov.si</u>

#### **South Africa**

National Department of Agriculture

Directorate: Genetic Resources

PO Box 25322 0031 Gezina

Tel: (27-12) 808 03 65 Fax: (27-12) 808 03 65

#### **Spain**

Oficina Espanola de Variedades Vegetales (OEVV)

Instituto Nacional de Investigación y Tecnología

Agraír y Alimentaria

Ministerio de Agricultura, Pesca y Alimentación

José Abascal, 4-7<sup>a</sup> pl. E-28003 Madrid Tel: (34-91) 347 66 00 Fax: (34-91) 594 27 68

#### Sweden

Statens växtsortnämnd

Box 1247 S-171 24 Solna

Tel: (46-8) 783 12 60/783 12 61

Fax: (46-8) 83 31 70

#### **Switzerland**

Bundesamt für Landwirtschaft Büro für Sortenschutz Mattenhofstr. 5 CH-3003 Bern

Tel: (41-31) 322 25 24 Fax: (41-31) 322 26 34

#### **Trinidad**

Controller (Ag)

Intellectual Property Office Ministry of Legal Affairs 34 Fredrick Street Port of Spain

Tel: (1-868)625 9972/627 95 67

Fax: (1-868)624 1221

E-mail: Controller.IPOffice@opus.co.tt

# Ukraine

State Patent Office of Ukraine

8 Lvov Square

254655 Kiev 53, GSP-655 Tel: (880-44) 212 50 82

Fax: (880-44) 212 34 49

# **United Kingdom**

The Plant Variety Rights Office White House Lane Huntingdon Road Cambridge CB3 0LF

Tel: (44-1223) 34 23 81 Fax: (44-1223) 34 23 86

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#### **United States of America**

The Commissioner of Patents and Trademarks Patent and Trademark Office Box 4

Washington, DC 20231 Tel: (1-703) 305 93 00 Fax: (1-703) 305 88 85

The Commissioner
Plant Variety Protection Office
Agricultural Marketing Service
Department of Agriculture
Beltsville, Maryland 20705-2351

Tel: (1-301) 504 55 18 Fax: (1-301) 504 52 91

### Uruguay

Instituto Nacional de Semillas (INASE) Cno. Bertolotti s/n y R-8fkm. 28.8 – Bando – Canelones

Cirección Postal: Casilla de Correos 7731 -

Pando Canelones Tel: (598-2) 288 7099 Fax: (598-2) 288 7077

E-mail: <a href="mailto:inasepre@adinet.com.uy">inasepre@adinet.com.uy</a>
Web page: <a href="mailto:www.cgasqye.apc.org/inase">www.cgasqye.apc.org/inase</a>

# (Not yet a member of UPOV) European Union

Community Plant Variety Office PO Box 2141 F-49021 Angers Cedex 02 France

Tel: (33-2) 41 36 84 50 Fax: (33-2) 41 36 84 60